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PTO/SB/21 (09-04)  
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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM  (to be used for all correspondence after initial filing)	Application Number	09/849,022	
	Filing Date	May 4, 2001	
	First Named Inventor	Joseph D. Gold, et al.	
	Art Unit	1632	
	Examiner Name	Thaian N. Ton	
Total Number of Pages in This Submission	6	Attorney Docket Number	091/005P

## ENCLOSURES (Check all that apply)

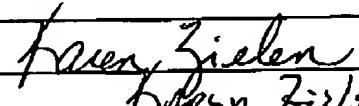
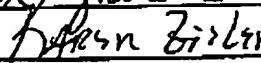
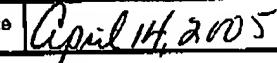
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.62 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<b>Remarks</b> <div style="border: 1px solid black; padding: 2px; width: 100%;">Request for Corrected Filing Receipt (2 pages) with copy of Filing Receipt marked with changes made (2 pages)  ***last page marker (1 page)***</div>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Geron Corporation		
Signature			
Printed name	J. Michael Schiff		
Date	Apr 14/05	Reg. No.	40,253

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Name

April 14, 2005  
Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Joseph D. Gold, *et al.*

Examiner: Thaian N. Ton

Filing Date: May 4, 2001

Art Unit: 1632

Serial No.: 09/849,022

Docket: 091/005P

For: GENETICALLY ALTERED HUMAN  
PLURIPOTENT STEM CELLS

## REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is a copy of the Updated Filing Receipt marked to show the corrections that are needed. The corrections are as follows:

Please correct the Applicant information to read: Joseph D. Gold, San Francisco, California; Melissa K. Carpenter, London, Ontario, Canada; Margaret S. Inokuma, San Jose, California; Chunhui Xu, Palo Alto, California.

Please correct the Priority Data to read: This application claims priority to the following pending U.S. patent applications: USSN 60/175,581, filed January 11, 2000; USSN

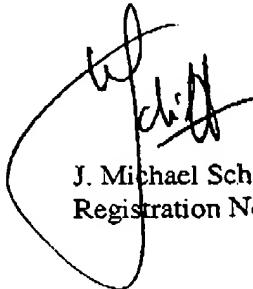
PATENT  
09/849,022  
Docket: 091/005P

60/213,740, filed June 22, 2000; USSN 60/213,739, filed June 22, 2000; USSN 60/216,387, filed July 7, 2000; and USSN 60/220,064, filed July 21, 2000.

No fee is believed due with respect to the filing of this paper. However, should the Patent Office determine that a fee is required for consideration of this paper, applicants hereby petition for such relief, and authorizes the Assistant Commissioner to charge any fees due to Deposit Account No. 07-1139, referencing the docket number indicated above.

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

  
J. Michael Schiff  
Registration No. 40,253

GERON CORPORATION  
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April 4<sup>th</sup>, 2005



UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/849,022	05/04/2001	1645	435	091/005P	6	12	5

022869  
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CONFIRMATION NO. 7806

UPDATED FILING RECEIPT



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Date Mailed: 07/05/2002

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PATENT DEPARTMENT

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**Applicant(s)**

Joseph D. Gold, San Francisco, CA;  
Melissa K. Carpenter, Castro Valley, CA; London, Ontario, Canada  
Margaret S. Inokuma, San Jose, CA;  
Chunhui Xu, Cupertino, CA, Palo Alto, CA; F.

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/175,581 01/11/2000  
AND CLAIMS BENEFIT OF 60/213,740 06/22/2000  
AND CLAIMS BENEFIT OF 60/213,739 06/22/2000  
AND CLAIMS BENEFIT OF 60/216,387 07/07/2000  
AND CLAIMS BENEFIT OF 60/220,064 07/21/2000  
AND CLAIMS BENEFIT OF 60/257,608 12/22/2000

~~(\*) Data provided by applicant is not consistent with PTO records.~~

✓

**Foreign Applications**

If Required, Foreign Filing License Granted 06/01/2001

Projected Publication Date: 10/17/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title**

Genetically altered human pluripotent stem cells

**Preliminary Class**

435

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**geron**

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*Facsimile Transmittal Sheet*

**LAST PAGE**

**USSN 09/849,022**

**Attorney Docket 091/005P**